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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/826,227

VEDDER PRICE/ACCENTURE

222 NORTH LASALLE STREET

CHICAGO, IL 60601

04/16/2004

Andrew E. Fano

33836.00.0046

**CONFIRMATION NO. 9661** 

**FORMALITIES LETTER** 

\*OC000000013087534\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/02/2004 HTECKLU1 00000060 220259

30498

10826227

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DA

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

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A copy of this notice MUST be returned with the reply.

SEP 0 2 2004 1514

PTO/SB/17 (10-03)
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FEE TRANSMITTAL
for FY 2004

Co
Application Number
Filing Date

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170.00

Complete if Known		
Application Number	10/826,227	
Filing Date	04/16/2004	
First Named Inventor	Andrew E. Fano	
Examiner Name	na	
Art Unit	2611	
Attorney Docket No.	33836.00.0046	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)		
Check Credit card Money Other None	3. ADDITIONAL FEES		
Deposit Account:	arge Entity   Small Entity		
Deposit Account 22-0259	Fee Fee Fee Fee Description	Fee Paid	
Number	1051 130 2051 65 Surcharge - late filing fee or oa	ath 130.00	
Deposit Account Vedder, Price, Kaufman & Kammholz, P.C.	1052 50 2052 25 Surcharge - late provisional fili cover sheet	ng fee or	
Name The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for <i>ex parte</i>	reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR Examiner action	prior to	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR Examiner action	after	
	1251 110 2251 55 Extension for reply within first	month	
FEE CALCULATION	1252 420 2252 210 Extension for reply within seco		
1. BASIC FILING FEE Large Entity Small Entity	1253 950 2253 475 Extension for reply within third	i month	
Fee Fee Fee Fee Description Fee Paid	1254 1,480 2254 740 Extension for reply within four	th month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth	month	
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an a	appeal	
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public us	e proceeding	
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable	e	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive - unintention	al	
Fee from	1501 1,330 2501 665 Utility issue fee (or reissue)		
Extra Claims below Fee Paid  Total Claims 20** = X =	1502 480 2502 240 Design issue fee		
Independent 2**-	1503 640 2503 320 Plant issue fee		
Claims -3 = - ^	1460 130 1460 130 Petitions to the Commissione	r	
	1807 50 1807 50 Processing fee under 37 CFR	` "	
Large Entity   Small Entity   Fee   Fee   Fee   Fee   Fee   Fee   Description	1806 180 1806 180 Submission of Information Dis		
Code (\$)   Code (\$) 1202 18   2202 9 Claims in excess of 20	8021 40 8021 40 Recording each patent assign property (times number of pro	ment per 40.00 perties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final (37 CFR 1.129(a))	rejection	
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention	to be	
1204 86 2204 43 ** Reissue independent claims	examined (37 CFR 1.129(b))		
over original patent	1801 770 2801 385 Request for Continued Exam	` '	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited exami of a design application	ination	
SUBTOTAL (2) (\$) 0.00	Other fee (specify)		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (	3) (\$) 170.00	

SUBMITTED BY

Name (Print/Type) Christopher J. Reckamp

Registration No. (Attorney/Acent)

Signature

(Complete (if applicable))

Telephone 312-609-7599

Date August 30, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ea

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/826,227 RANSMITTAL Filing Date 04/16/2004 **FORM** First Named Inventor Andrew E. Fano Art Unit 2611 for all correspondence after initial filing) **Examiner Name** na Attorney Docket Number 33836.00.0046 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Assignment and Recordation Form to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): -copy of Notice to File Missing Parts Request for Refund **Express Abandonment Request** -Declaration -return postcard CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ 1 Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Christopher J. Reckamp Individual name Signature Date August 30, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Christine A. Wright Date August 30, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### PATENT APPLICATION

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Andrew E. Fano et al.

Examiner: na Art Unit: 2611

Serial No.: Filing Date:

10/826,227 04/16/2004

Docket No.: 33836.00.0046

Title: CONTROLLED MULTI-MEDIA PROGRAM REVIEW

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of First Class Mailing
I hereby certify that this paper is being sent via first class
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Stop Missing Parts, Commissioner for Patents, P. O. Box
1450, Alexandria, VA 22313-1450, on this date:

Data

Christine A. Wright

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b) – FILING DATE GRANTED

Dear Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed June 28, 2004, and relating to completion of the above-identified application, transmitted herewith are:

- 1. a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 2. a Fee Transmittal for FY 2004 authorizing the Commissioner to charge the \$130.00 declaration surcharge and \$40.00 Assignment recordation fee to Deposit Account No. 22-0259 in the name of Vedder, Price, Kaufman & Kammholz, P.C.;
  - 3. a Declaration signed by all inventors in compliance with 37 C.F.R. §1.16(e); and
  - 4. an Assignment and Recordation Form Cover sheet.

The Commissioner is hereby authorized to charge any additional fees which may be required in this Application under 37 C.F.R. §1.16-1.17 during the entire pendency, or credit any overpayment to Deposit Account No. 22-0259.

Respectfully submitted,

Date: 8/30/04

Christopher J. Reckamp Registration No. 34,414

Vedder, Price, Kaufman & Kammholz, P.C. 222 N. LaSalle Street Chicago, IL 60601 (312) 609-7500

FAX: (312) 609-5005